
Changes To Investment Business Handbook

Date	Change
03/07/2006	Appendix D6 added. Investment Business ("Not fit and proper") Regulations 2005
24/11/2005	Appendix A - Investment Business Act 1991. Updated with amendments made by the Fiduciary Services Act.
12/09/2005	Updated Section 1,2 & 4
12/09/2005	Addition of Appendix J - Licensing Policy
18/10/2004	Addition of Appendix E6 - Investment Business (Exemption) (Temporary Business Continuity Operations) Regulations 2002
06/01/2004	Amendment to include as Appendix C8 the Stockbrokers Regulatory Code (with associated references throughout the introductory chapters). This Code only applies to Category 5 investment business licenceholders.
25/04/2003	Update to fees regulations.
18/02/2003	New sub-section added (2.5) in relation to Exemption for Disaster Recovery Operations